

09/26/01



11042 U.S. PTO

Utility Patent Application Transmittal

Attorney Docket No.: E-5-2

First Inventor: David C. Hovda et al.

Title: Systems and Methods for Electrosurgical Treatment of Turbinates

Express Mail Label No.: ET156783200US

APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
2. ☒ Applicant claims small entity status.
3. ☒ Specification - Total Pages 44
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on
 - i. ☐ CD-ROM or CD-R or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

11042 U.S. PTO
09/26/01

4. ☒ Drawings - Total Sheet 18
5. ☒ Oath or Declaration - Total Pages 3
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
 - i. ☐ Deletion of Inventors
6. ☐ Application Data Sheet

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet/documents)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document
12. ☒ Information Disclosure Statement (PTO-1449)
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Document
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(I)
17. ☐ Other _____

18. ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.
☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP)

of prior application No.: 09/480,880 filed January 10, 2000Prior application information: Examiner: L. Cohen Group Art Unit: 3739

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address:

Customer Number 021394

John T. Raffle
Reg. 38,585

Date

CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. ET156783200US and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

Katie Zarzana

Date

Sept. 26, 2001

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**FEE TRANSMITTAL
for FY 2001**Application No.: *unassigned*Filing Date: *herewith*

First Named Inventor: David C. Hovda et al.

Examiner Name:

Group Art Unit:

TOTAL AMOUNT OF PAYMENT \$355.00

Attorney Docket No.: E-5-2

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No. 50-0359

ArthroCare Corporation

- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.

2. ☐ Payment Enclosed

FEE CALCULATION**1. ☒ BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) \$355**2. ☐ EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims _____ - 20** = _____	x	_____	_____
Independent Claims _____ - 3** = _____	x	_____	_____
Multiple Dependent _____		_____	_____

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dep. Claim	
109 80	209 40	**Reissue indp. over orig.	
110 18	210 9	**Reissue clms over 20	

SUBTOTAL (2) \$ _____

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	Request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner Action	
113 1,840	113 1,840*	Requesting publication of SIR after Examiner Action	
115 110	215 55	Extension for reply within 1 st month	
116 390	216 195	Extension for reply within 2 nd month	
117 890	217 445	Extension for reply within 3 rd month	
118 1,390	218 695	Extension for reply within 4 th month	
128 1,890	228 945	Extension for reply within 5 th month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of IDS	
581 40	581 40	Recording assignment per property	
146 710	246 355	Filing a submission after final	
149 710	249 355	For each additional invention to be examined	
179 710	279 355	Request for Continued Examination	
169 900	169 900	Request for expedited examination of a design application	

Other fee: _____

SUBTOTAL (3) \$ _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

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Date

9/25/01